Applicant: Jacobson

Application No.: 09/175,589

Title: "Electronic Records Management System"

Attorney Docket No.: 065424.0004

AMENDMENTS

Examiner: E. Colbert

Art Unit: 3694

In the Claims, please amend Claims 1, 13, 17 and 23, as follows:

(Currently Amended). In a distributed computing environment, a method

for managing an electronic record for compliance with network security policies of an

organization, the method comprising:

creating an electronic tag that uniquely identifies the electronic record, the

electronic tag being associated with a deletion prevention specified time period for

compliance with the network security policies;

storing the at least one electronic tag in a central repository; and

sending the electronic record from the distributed computing environment

to a recipient; and

initiating the execution of scripting code upon the sending of the electronic

record from the distributed computing environment to the recipient, the scripting code

containing the procedures for handling the electronic record;

wherein the initiation of the execution of the scripting code prevents the

electronic record from being deleted before expiration of the deletion prevention time

period associated with the electronic tag.

2. (Previously Amended). The method of claim 1, further comprising deleting

the electronic record and selectively deleting the at least one electronic tag.

Applicant: Jacobson
Application No.: 09/175,589
Examiner: E. Colbert
Art Unit: 3694

Title: "Electronic Records Management System"

Attorney Docket No.: 065424.0004

Attorney Docket No.: 065424.0004

3. (Original). The method of claim 1, further comprising storing the electronic

record.

(Cancelled).

5. (Previously Amended). The method of claim 1, wherein the distributed

computing environment comprises a computer having a registry and a user profile, and

wherein creating the electronic tag comprises generating a reference code and creating

the electronic tag at least in part as a function of at least one of the registry, the user

profile, and the reference code.

6. (Previously Amended). The method of claim 5, wherein generating the

reference code comprises reading the electronic record.

7. (Previously Amended). The method of claim 5, wherein the reference

code comprises a classification code and an index code.

8. (Previously Amended). The method of claim 7, wherein the classification

code is selected from a group comprising business email, personal email, intramail,

bulletin board, minutemail, and purgemail.

Examiner: E. Colbert Applicant: Jacobson Art Unit: 3694

Application No.: 09/175.589

Title: "Electronic Records Management System"

Attorney Docket No.: 065424.0004

9 (Previously Amended). The method of claim 7, wherein the index code

identifies the contents of an electronic record and the recipient of the electronic record.

10 (Previously Amended). The method of claim 1, wherein creating the

electronic tag comprises:

reading a stored electronic tag: and

generating an electronic tag in response to accessing an electronic record;

11. (Previously Amended). The method of claim 1, wherein the electronic

record comprises an email message.

12. (Previously Amended). The method of claim 1, wherein sending the

electronic record comprises:

reading the electronic tag; and

generating a new electronic tag at least in part as a function of the read

electronic tag, a computer registry, a user profile, and a reference code.

13. (Currently Amended). In a distributed computing environment, an

apparatus for managing an electronic record for compliance with network security

policies, the apparatus comprising:

a computer system comprising at least one processor and at least one

memory, the computer system being adapted and arranged to create an electronic tag

Applicant: Jacobson Examiner: E. Colbert
Application No.: 09/175,589 Art Unit: 3694

Application No.: 09/1/5,589

Title: "Electronic Records Management System"

Attorney Docket No.: 065424.0004

that uniquely identifies the electronic record, the electronic tag being associated with a deletion prevention time period for compliance with the network security policies;

a central repository adapted to store the electronic tag:

the distributed computing environment being adapted to send the

electronic record to a recipient; and

scripting code which is initiated upon the sending of the electronic record

from the distributed computing environment to the recipient, the scripting code

containing the procedures for handling the electronic record;

wherein the initiation of the execution of the scripting code prevents the

electronic record from being deleted before expiration of the deletion prevention time

period associated with the electronic tag.

14. (Original). The apparatus of claim 13, wherein the computer system is

further adapted and arranged for purging the electronic record by deleting the electronic

record and selectively deleting the electronic tag.

(Cancelled).

16. (Original). The apparatus of claim 13, wherein the distributing computing

environment comprises a computer having a registry and a user profile, wherein the

computer system is configured and arranged to:

Applicant: Jacobson

Application No.: 09/175,589

Title: "Electronic Records Management System"

Attorney Docket No.: 065424.0004

generate a reference code, wherein the electronic tag is generated at least

Examiner: E. Colbert

Art Unit: 3694

in part as a function of at least one of the registry, the user profile, and the reference $% \left(1\right) =\left(1\right) \left(1$

code.

17. (Currently Amended). In a distributed computing environment, an article

of manufacture for managing an electronic record for compliance with network security

policies, the article of manufacture comprising a computer-readable storage medium

having a computer program embodied therein that causes the distributed computing

environment to:

create an electronic tag that identifies the electronic record, the electronic

tag being associated with a deletion prevention time period for compliance with the

network security policies; and

initiate scripting code upon the sending of the electronic record to a

recipient, the scripting code containing the procedures for handling the electronic

record;

wherein the initiation of the execution of the scripting code prevents the

electronic record from being deleted before expiration of the deletion prevention time

period associated with the electronic tag.

18. (Previously Amended). The article of claim 17, wherein the computer

program further causes the distributed computing environment to purge the electronic

record by deleting the electronic record and selectively deleting the electronic tag.

Examiner: E. Colbert Applicant: Jacobson Art Unit: 3694

Application No.: 09/175,589

Title: "Electronic Records Management System"

Attorney Docket No.: 065424.0004

19. (Previously Amended). The article of claim 17, wherein the computer

program further causes the distributed computing environment to store the electronic

record.

20 (Cancelled).

21 (Previously Amended). The article of claim 17, wherein the distributed

computing environment comprises a computer having a registry and a user profile,

wherein the computer program further causes the distributed computing environment to

generate a reference code, wherein the electronic tag is generated at least in part as a

function of at least one of the registry, the user profile, and the reference code.

22. (Previously Amended). The article of claim 17, wherein the computer

program further causes the distributed computing environment to:

read stored electronic tags; and

generate a further electronic tag in response to accessing an electronic

record.

23. (Currently Amended). In a distributed computing environment, a method

for managing an electronic record for compliance with network security policies of an

organization, the method comprising:

Examiner: E. Colbert Applicant: Jacobson Art Unit: 3694

Application No.: 09/175,589

Title: "Electronic Records Management System"

Attorney Docket No.: 065424.0004

creating an electronic tag that uniquely identifies the electronic record, the electronic tag being associated with a deletion prevention specified time period for compliance with the network security policies:

storing the at least one electronic tag in a central repository;

sending the electronic record to a recipient; and

initiating the execution of scripting code upon the sending of the electronic record, the scripting code containing the procedures for handling the electronic record;

wherein the initiation of the execution of the scripting code prevents the electronic record from being deleted before expiration of the deletion prevention time period associated with the electronic tag; and

wherein the distributed computing environment automatically monitors compliance with the network security policies as a function of the electronic tag.

- 24. (Cancelled).
- 25. (Cancelled).
- 26. (Cancelled).